

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,

Debtors.

----- x  
: Chapter 11  
:  
: Case No. 08-13555 (SCC)  
:  
: (Jointly Administered)  
:  
: Ref. Docket Nos. 27846,  
: 56388, 60066, 60068, 60070,  
: 60071, 60074, 60075, 60077,  
: 60079, 60081, 60083, 60085,  
: 60086, 60089, 60090, 60092,  
: 60094, 60096, 60097, 60100,  
: 60102, 60103, 60105, 60108,  
: 60110, 60112, 60114, 60116,  
: 60118, 60120, 60123, 60124,  
: 60126, 60127, 60132, 60134-  
: 60136, 60139, 60141, 60143,  
: 60144, 60146, 60249, 99999

AFFIDAVIT OF SERVICE

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

WING CHAN, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Corporate Restructuring, LLC<sup>1</sup>, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On December 6, 2019, I caused to be served the “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” dated December 6, 2019, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

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<sup>1</sup> Epiq Bankruptcy Solutions, LLC, is now known as Epiq Corporate Restructuring, LLC.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Wing Chan  
Wing Chan

Sworn to before me this  
6<sup>th</sup> day of December, 2019

/s/ Forrest Kuffer

Notary Public, State of New York  
No. 01KU6369117  
Qualified in Kings County  
Commission Expires December 26, 2021

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,  
Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

EMPYREAN LHMN SPV, LP  
TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH  
ATTN: STERLING HATHAWAY  
10250 CONSTELLATION BLVD., STE 2950  
LOS ANGELES, CA 90067

EMPYREAN LHMN SPV, LP  
ANN BATCHELOR PACE  
C/O CARTER LEDYARD & MILBURN LLP  
2 WALL STREET  
NEW YORK, NY 10005

Please note that your claim # 10944-01 in the above referenced case and in the amount of \$750,686.36 allowed at \$667,109.23 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000159745404 \*\*\*

LBH TRFNTC (ADDRESS2, ADRKEYID3) 34531



CITIGROUP FINANCIAL PRODUCTS INC.  
ELANIT SNOW  
C/O PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP  
1285 AVENUE OF THE AMERICAS  
NEW YORK, NY 10019

CITIGROUP FINANCIAL PRODUCTS INC.  
TRANSFEROR: EMPYREAN LHMN SPV, LP  
ATTN: KENNETH KEELEY  
388 GREENWICH STREET, TRADING TOWER 6TH  
FLOOR  
NEW YORK, NY 10013

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 60066 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFeree WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 12/06/2019

Vito Genna, Clerk of Court

/s/ Betina Wheelon

Epiq Corporate Restructuring, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on December 6, 2019.

## **EXHIBIT B**



Claim Name	Address Information
CITIGROUP FINANCIAL PRODUCTS INC.	TRADING TOWER 6TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	ELANIT SNOW, C/O PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: EMPYREAN LHMN SPV, LP, ATTN: KENNETH KEELEY, 388 GREENWICH STREET, TRADING TOWER 6TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	ELANIT SNOW, C/O PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: EMPYREAN LHMN SPV, LP, ATTN: KENNETH KEELEY, 388 GREENWICH STREET, TRADING TOWER 6TH FLOOR, NEW YORK, NY 10013
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CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: EMPYREAN LHMN SPV, LP, ATTN: KENNETH KEELEY, 388 GREENWICH STREET, TRADING TOWER 6TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	ELANIT SNOW, C/O PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
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CITIGROUP FINANCIAL PRODUCTS INC.	ELANIT SNOW, C/O PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
DEUTSCHE BANK AG, LONDON	TRANSFEROR: TACONIC MARKET DISLOCATION FUND II LP, ATTN: JEFFREY OLINSKY, 60 WALL ST., 3RD FLOOR, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: TACONIC MARKET DISLOCATION MASTER FUND II LP, ATTN: JEFFREY OLINSKY, 60 WALL ST., 3RD FLOOR, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
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EMPYREAN LHMN SPV, LP	ATTN: ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW

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EMPYREAN LHMN SPV, LP	YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
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EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: MORGAN STANLEY SENIOR FUNDING, INC., C/O EMPYREAN CAPITAL PARTNERS, LP, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
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EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250

<b>Claim Name</b>	<b>Address Information</b>
EMPYREAN LHMN SPV, LP	CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ATTN: ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
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EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
GOLDMAN SACHS & CO. LLC	TRANSFEROR: TACONIC MASTER FUND 1.5 LP, ATTN: THIERRY C. LE JOUAN, 30 HUDSON ST, 4TH FL, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO. LLC	TRANSFEROR: TACONIC OPPORTUNITY MASTER FUND LP, ATTN: THIERRY C. LE JOUAN, 30 HUDSON ST, 4TH FL, JERSEY CITY, NJ 07302
HBK MASTER FUND, L.P.	TRANSFEROR: SEAPORT LOAN PRODUCTS, LLC, C/O HBK SERVICES LLC, 2300 NORTH FIELD ST, STE 2200, DALLAS, TX 75201
HBK MASTER FUND, L.P.	TRANSFEROR: SEAPORT LOAN PRODUCTS, LLC, C/O HBK SERVICES LLC, 2300 NORTH FIELD ST, STE 2200, DALLAS, TX 75201
SEAPORT LOAN PRODUCTS, LLC	360 MADISON AVE, NEW YORK, NY 10017
SEAPORT LOAN PRODUCTS, LLC	360 MADISON AVE, NEW YORK, NY 10017
STONEHILL MASTER FUND LTD.	TRANSFEROR: GOLDMAN SACHS & CO. LLC, C/O STONEHILL CAPITAL MGMT - OPS DEPARTMENT, 885 THIRD AVENUE, 30TH FLOOR, NEW YORK, NY 10022
STONEHILL MASTER FUND LTD.	TRANSFEROR: GOLDMAN SACHS & CO. LLC, C/O STONEHILL CAPITAL MGMT - OPS DEPARTMENT, 885 THIRD AVENUE, 30TH FLOOR, NEW YORK, NY 10022

**Total Creditor Count 178**